# Retirement Compensation Arrangement (RCA) Part XI.3 Tax Return

RCA trust's tax year					Tax Centre WINNIPEG	TSO code
Is this the first T3-RCA tax return filed?	Yes	1 No 2				use this area.
If yes, attach a copy of the trust agreement	ent if it wa	s not already subm	nitted.			
Is this the final return of the RCA trust?	Yes	1 No 2				
If yes, attach complete details for closure	е.					
Language of correspondence En	nglish	French				
Complete this return using the instruction	ns in the T	4041, Retirement	Compensation Arrangements Guid	de.		
Identification and other inform	ation					
RCA trust's name (maximum 60 characters)					Trust account number	er 
Custodian information (Choose only	y one of th	ne two following op	tions and fill in the required inform	ation about tl	he custodian)	
Option 1 – The custodian is an Ind	dividual (F	or example, a trus	tee, a filer, a custodian, an execut	or, a liquidato	or, or an administrator)	
First name of custodian			Last name of custodian			Telephone number
Address City			Province/Territory or State	Country		Postal or ZIP code
			,			
Option 2 – The custodian is a Non	n-individua	al (For example, a	corporation, a partnership, or a tru	st company)		
Name of non-individual custodian						
First name of contact person			Last name of contact person			Telephone number
Address City			Province/Territory or State	Country		Postal or ZIP code
The mailing address is the same a	as above -	- If the mailing add	ress of the custodian is different fi	om the addre	ess above, fill in this se	ection.
Care of (C/O)						Telephone number
Address City			Province/Territory or State	Country		Postal or ZIP code
Address			1 Tovince, remitery of clate	Country		Code
Step 1 – Supporting document	tation					
Were any changes made to the terms	s of the ar	rangement during	the year?		Yes 1 No	2
If yes, attach documents to support the	Ü					<b>⊣</b> ¬。
<ol><li>Were any transfers of beneficial interesting.</li><li>If yes, provide the following:</li></ol>	ests in the	e arrangement repo	orted to you?		Yes1 No _	2
Name of person who disposed of an	interest	Address			Social insurance numb	per or business number
Name of person who bought an inter	rest	Address			Social insurance numb	per or business number
Traine of percent who bought air inter		7 taa 1000				yor or business number
3. Were any amounts returned to an em If <b>yes</b> , attach copies of T4A-RCA Sun			• •	?	Yes 1 No	2
Payroll account number			Non-resident remit	tance accour	nt number	
4. Indicate how many members of the R	RCA for wh	nom the RCA trust	received contributions.			
5. Was any property other than cash dis	stributed d	uring the year?			Yes 1 No	
If yes, attach complete details		- ·				_



# Step 1 – Supporting documentation (continue)

6.	Has the RCA trust acquired or disposed of property during the year at other than fair market value? If yes, attach complete details	Yes 1	No 2	
7.	Was any property of the RCA trust leased or used by any member of the RCA or a related person? If yes, attach complete details	Yes 1	No 2	
8.	Did the RCA trust loan money out of the RCA? If <b>yes</b> , indicate the date on which the loan(s) was/were made. If a loan agreement was not submitted previously, attach a copy.	Year	Month Day	
9.	Were any amounts transferred to, or received from, another RCA during the year?  If <b>yes</b> , attach a copy of the letter of agreement and provide the other RCA's Custodian trust account number.	Custodian tr	rust account number	-
10	. If the employer submitted the RCA for registration under the Income Tax Act as a registered pension plan (RPP) and we refused to register the arrangement as an RPP, attach a copy of the final determination letter and indicate the final determination date in the box on the right	Year	Month Day	

## Step 2 – Details of contributions received during the year

details for eac						
ate contributi	ion rece	eived			Amount rece	ived
Year I	Month	Day				
					-	
					_	
					_	
					-	
						_
					-	_
						_
					-	-
				ubtotal		
			30			
				abtotai		
					×	
			Total contributions from employer all the slips has to equal the amount on line 1. <b>Transfer this amount to line 2 of Step 3</b> Attach copy 2 of the T737-RCA information slips	6021	×	
	ts rece	eived d	Total contributions from employer all the slips has to equal the amount on line 1. <b>Transfer this amount to line 2 of Step 3</b>	6021	×	
2 – Amount	ts rece	eived d	Total contributions from employer all the slips has to equal the amount on line 1. <b>Transfer this amount to line 2 of Step 3</b> Attach copy 2 of the T737-RCA information slips	6021	×	eivec
2 – Amount n a separate l Date contribut	ts rece	eived d	Total contributions from employer all the slips has to equal the amount on line 1. <b>Transfer this amount to line 2 of Step 3</b> Attach copy 2 of the T737-RCA information slips irectly from an RCA member	6021	x 	eivec
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## Step 2 – Details of contributions received during the year (continue)

(Attach a separate list if	required.)	directly from another RCA trust rring amounts between trusts, see Guide T4041, Retirement Compensation Arrangements Guide.	
Date contribution r	eceived	Name of RCA trust making the transfer	Amount received
Year Mon	th Day		
		Transfer this subtotal amount to line 42 - Subtotal	
			×
		Transfered amount <b>6041</b>	= •3
		Transfer this amount to line 4 of Step 3	
		Attach a copy of the letter of agreement.	

# Step 3 – Calculating the refundable tax on hand for the current year

Part 1 – Refundable tax on hand before distributions or election					
Refundable tax on total contributions at the beginning of the year (from line 6 of Step 3 of y	our prior yea	r's tax return)	601		•1
Current year's employer contributions (from line 1 of Step 2)	602		•2		
Current year's member contributions (from line 2 of Step 2)	603	+	•3		
Current year's amount transferred from another RCA trust (from line 3 of Step 2)	604	+	•4		
Add lines 2 to 4		=	5		
Multiply line 5 by 50%		×	<u> </u>		
Refundable tax on total contributions		=		+	6
Refundable tax on total contributions at the end of the year (line 1 <b>plus</b> line 6)			606	=	•7
Income and capital gains at the beginning of the year (from line 10 of Step 3 of your prior year's tax return)	607		•8		<del></del>
Current year's income from business and property*	608	+	•9		
Current year's capital gains*	609	+	•10		
Total income and capital gains (add lines 8 to 10)	610	=	•11		
Losses and capital losses at the beginning of the year (from line 14 of your prior year's tax return)	611		•12		
Current year's losses from business and property*	612	+	•13		
Current year's capital losses*	613	+	•14		
Total losses and capital losses (add lines 12 to 14)	614	=	•15		
Excess of income and capital gains over losses and capital losses (Line 11 <b>minus</b> line 15. If negative, enter 0)			16		
Multiply line 16 by 50%		×			
Refundable tax on excess of income and capital gains over losses and capital losses.	616	=		+	<u></u> •1
Refundable tax on hand before distributions or election (line 7 plus line 17). Transfer this	amount to I	ine 25 of Part 3	617	=	• 1
* If you enter income or losses from business and property on line 9, line 10 or line 13 or haline 14, attach financial statements.	ave a curren	year capital los	s on		
Part 2 – Distributions					
Distributions at the beginning of the year (from line 22 of your prior year's return)			618		•1
Current year's distributions out of the RCA trust (do not include amounts transferred directly returned to the employer). Attach a copy of <b>all</b> T4A-RCA Summaries or NR4 Summaries	to another	RCA or amount	619	+	•2
Current year's amounts returned to employer or employee to be included in employer or en income. Attach a copy of the T4A-RCA Summary or NR4 Summary	nployee's		620	+	•2
Current year's amounts transferred directly to another RCA trust. Attach a copy of the letter of agreement 62	1	×	2 = ▶	+	•2
Taxes on prohibited investments and advantages <b>paid</b> in the current year, <b>to the extent th</b> waived, refunded or cancelled (distribution under section 207.65)	at these tax	es have not be	en 6211	+	• 2:
Total distributions (add lines 19 to 23). Transfer this amount to line 26 of Part 3	622		•24		

#### Step 3 - Calculating the refundable tax on hand for the current year (continue

Part 3 – Refundable tax on hand (after distributions) at the end of the tax year					
Enter the amount from line 18 of Part 1					25
Enter the amount from line 24 of Part 2			26		
Multiply line 26 by 50%		×			
Refundable tax on hand		=		_	27
Refundable tax on hand (after distributions) at the end of the tax year (line 25 minus line 27)			624	]	•28
Depending on which option below applies, use Option A, complete Option B, or use Option	tion C.				
$\mathbf{A} - \mathbf{lf}$ you satisfy the conditions specified in Step 4 and you wish to make the election under so	ubsectior	n 207.5(2), go to St	tep 4.		
B – If you have distributed all of the property held by the RCA such that no property remains in the RCA at the end of the year (other than the right to receive a refund of the refundable	tax):				1
Enter the refundable tax on hand (from line 28 above)  Enter the amount of the refundable tax on hand that will be distributed when					29
received – attach the T4A-RCA or NR4 Summaries	627		•30		
Multiply line 30 by 50%		×			31
Line 29 minus line 31			629		•32
If the amount on line 32 is nil, enter the amount on line 39 of step 5. If the amount is positive yeavailable) or use Option C. For final returns reporting a positive result at line 32, Option A mus					
C - If Options A or B are not applicable, enter the amount from line 28 above on line 39 of step	p 5.				
Step 4 - Election under subsection 207.5(2) to recover refundable tax	on han	d			
You can make this election only if all of the property in the RCA at the end of the tax year (othe 207.7(2)) consisted of cash, debt obligations, shares listed on a designated stock exchange, o if any part of the decline in value of the property is attributable to a prohibited investment or ad <b>Property of the RCA trust</b> (at the end of the tax year):  Cash	r any cor	mbination of these.			
Debt obligations: Principal amounts	1		34		
Fair market values			35		
Enter Line 34 or 35 for each, whichever is <b>more</b>	+		634	] +	•36
Fair market value of shares listed on a designated stock exchange		-	635	+	•37
Total property of the RCA trust at the end of the tax year (add lines 33, 36, and 37). Transfer	this amo	ount to line 39.	636	=	•38
					<del></del>
Election – You have to complete and sign the election before we can refund the	ne tax.				
I,, custodian of the					RCA trust,
(print name)					
elect to have the amount on line 38 above deemed to be refundable tax at the end of the		tax year. I ha	ave attache	d separate I	ists giving
details of amounts entered on lines 34, 35, and 37.					
Date	Cust	odian's signature			
Step 5 – Part XI.3 tax payable or refundable					
Refundable tax on hand at the end of the tax year (line 28, 32, or 38, whichever one applies)			641	]	•39
Refundable tax on hand at the beginning of the year	642		• 40		
Refundable tax on hand transferred during the year to another RCA	643	_	41		
Refundable tax on hand transferred during the year from another RCA	644	+	42		
Line 40 minus line 41 plus line 42		=	<b></b>		43
Subtotal: Total Part XI.3 tax payable or refundable in the year (line 39 minus line 43). If negar	tive, encl	ose in brackets	646	=	•44

#### Step 6 – Tax on advantages and prohibited investments

Tax under section 207.61 on prohibited investments	×		650	ı	•45
Tax under section 207.62 on advantages	×	=	651	+	•46
Minus allowable refund on prohibited investments	·		652	, <u> </u>	• 47
Subtotal: Balance owing or refund (line 45 plus line 46 minus line 47)			653	=	• 48
				` `	

#### Step 7 - Refundable tax remitted

Refundable tax deducted and remitted by employer or contributor during the year	_		49	
Payments on account remitted by custodian during the year	_	+	50	
Line 49 <b>plus</b> line 50	662		•	•51

### Step 8 - Balance owing or refund

<u>'</u>		
Balance owing or refund (line 44 plus line 48 minus line 51)	663	•52
If the result is positive, you have a <b>balance owing</b> . If the result is negative, you have a <b>refund</b> .	-	
Amount enclosed [	95	•53
Balance owing: Attach a cheque or money order payable to the Receiver General. Do not mail cash		
Refund code (see the guide for details)	102	54
Refund: To receive a refund by direct deposit, Complete Form T3-DD, Direct Deposit Request for T3.		

### Step 9 - Certification

Ι, _		, certify that the information given in this return and in	any attached documents is o	correct and complete.
	(Print name)			
_				
	Signature of authorized person	Position or office	Telephone number	Date YYYYMMDD

Personal information (including the SIN) is collected for the purposes of the administration or enforcement of the Income Tax Act and related programs and activities including administering tax, benefits, audit, compliance, and collection. The information collected may be used or disclosed for purposes of other federal acts that provide for the imposition and collection of a tax or duty. It may also be disclosed to other federal, provincial, territorial, or foreign government institutions to the extent authorized by law. Failure to provide this information may result in interest payable, penalties, or other actions. Under the Privacy Act, individuals have a right of protection, access to and correction of their personal information, or to file a complaint with the Privacy Commissioner of Canada regarding the handling of their personal information. Refer to Personal Information Bank CRA PPU 015 on Info Source at canada.ca/cra-info-source.