

Pooled Registered Pension Plan Amendment Information

The administrator of a pooled registered pension plan must complete this form when requesting acceptance of an amendment to a pooled registered pension plan under subsection 147.5(7) of the *Income Tax Act* (ITA).

All questions on the form must be answered unless instructed otherwise. Incomplete forms will be returned to the submitter by the Canada Revenue Agency (CRA).

Send a copy of this document, together with a certified copy of the amendment, the plan, or any document that creates or supports the plan, to the CRA at the address below no later than 60 days from the date on which the amendment was made. Please ensure that all documents that are related to the amendment are included.

Canada Revenue Agency
Registered Plans Directorate
Ottawa, ON K1A 0L5

For more information about the terms used in this form, visit our website at www.cra.gc.ca/rpd or call us at:

In the Ottawa area: 613-954-0419
Elsewhere in Canada: 1-800-267-3100
Facsimile: 613-954-0199

(Please print or type)

Section 1 – Plan Information	
CRA registration number	
Name of the Plan	
Section 2 – Amendment identification	
Effective date of amendment (YYYY-MM-DD)	Amendment number (if applicable)
Section 3 – Amendment details	
Is this amendment the result of revisions to:	
the <i>Income Tax Act</i> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
the <i>Pooled Registered Pension Plan Act</i> or a similar law of a province?	<input type="checkbox"/> Yes <input type="checkbox"/> No
the funding media?	<input type="checkbox"/> Yes <input type="checkbox"/> No
the administrator information?	<input type="checkbox"/> Yes <input type="checkbox"/> No
the contribution rates?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, which? (check all that apply)	<input type="checkbox"/> Employers <input type="checkbox"/> Members
Other (please specify)	
This amendment applies to (check): <input type="checkbox"/> All members <input type="checkbox"/> New entrants <input type="checkbox"/> Other	
Have the members been notified of the amendment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Section 4 – Termination of Plan	
Has the plan become inactive? If <i>no</i> , go to section 5	<input type="checkbox"/> Yes <input type="checkbox"/> No
When did all members stop contributing to the plan?	
Have all the funds been paid out of the plan? If <i>no</i> , go to section 5	<input type="checkbox"/> Yes <input type="checkbox"/> No
When were the last funds paid out of the plan?	
How will the funds be paid from the plan? (check all that apply):	
<input type="checkbox"/> Annuity purchase	<input type="checkbox"/> Transfers under section 147.5 of the ITA
<input type="checkbox"/> Cash payment	

Section 5 – Certification

As an authorized officer of the administrator of the pooled registered pension plan,

I, _____, certify that:

(Please print the name of the authorized officer of the Plan Administrator)

- (a) the information given on this document is, to the best of my knowledge, correct and complete;
 (b) to the best of my knowledge, the plan as amended, including all documents that create and support the plan, complies with section 147.5 of the ITA;

and I acknowledge that:

- (c) the information collected on this document will be used for the purposes of regulating the plan pursuant to the ITA, which is administered by the CRA and shared with Statistics Canada, pursuant to separate agreements; and
 (d) the information provided on this document will be subject to the *Access to Information Act* and the *Privacy Act*.

Signature of authorized officer	Date
Position of authorized officer	Telephone of authorized officer

Personal information is collected under the authority of section 147.5 of the ITA and is used for the registration and administration of a pooled registered pension plan. Information may also be disclosed under information-sharing agreements in accordance with the corresponding Acts. Incomplete or inaccurate information may result in a delay or a refusal to accept the amendment.

Information and other uses are described in personal information bank CRA PPU 226, and in the CRA chapter of *Info Source* at www.infosource.gc.ca. Personal information is protected under the *Privacy Act* and individuals have a right of protection, access to, and correction or notation of their personal information. Details regarding requests for personal information at the CRA and our Info Source chapter can be found at www.cra-arc.gc.ca/atip.