

Pooled Registered Pension Plan Annual Information Return

The administrator of a pooled registered pension plan (PRPP) must file a Form RC368-CA, *Pooled Registered Pension Plan Annual Information Return*, on or before May 1 of the year following the end of the calendar year, as required by section 213 of the *Income Tax Regulations*.

All questions on the form must be answered unless instructed otherwise. If the return is filed late, filed incorrectly, or if it is not filed at all, the Canada Revenue Agency (CRA) can impose financial penalties under subsections 162(5) or 162(7) of the *Income Tax Act*, and under subsection 147.5(24) of the Act, it can give notice of intent to revoke the registration of the plan.

Send the documents to:

Canada Revenue Agency
Registered Plans Directorate
Ottawa, ON K1A 0L5

For more information about the terms used in this form, visit our web site at www.cra.gc.ca/rpd or call us at:

In the Ottawa area: 613-954-0419
Elsewhere in Canada: 1-800-267-3100
Facsimile: 613-954-0199

(Please print or type)

Section 1 – Plan Information

Name of the Plan			
CRA registration number			
Fiscal Year End (YYYY)			Number of months in this reporting period
Administrator name		Authorized Officer name	
Address			
City	Province	Postal code	Telephone

Section 2 – Plan Financial Data for the Calendar Year (report amounts to the nearest dollar)

Total employer contributions for the year	
Total member contributions for the year	
Total of amounts transferred in from an RPP, SPP, DPSP, RRSP, RRIF or other PRPP	
Total of amounts transferred to another PRPP or used to purchase an annuity	
Total of amounts transferred to an RPP, SPP, RRSP or RRIF	
Total distributions made from the Plan	
Market value of total assets at the end of the year	

Section 3 – Investment Options – Financial Data (at Calendar Year end)

Default option	
Annual rate of return	
Market value of assets	
Investment option 1	
Annual rate of return	
Market value of assets	

Investment option 2	
Annual rate of return	
Market value of assets	
Investment option 3	
Annual rate of return	
Market value of assets	
Investment option 4	
Annual rate of return	
Market value of assets	
Investment option 5	
Annual rate of return	
Market value of assets	
Section 4 – Other Information Related to the Plan	
Did the Plan become designated during the year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
How many employers contributed to the Plan during the year? Please attach a list of employers' name(s) and business number(s)	
How many members contributed to the Plan during the year?	
How many members contributed to the Plan, but not with a participating employer, during the year?	
Total number of members in the Plan	
Section 5 – Certification	
As an authorized officer of the administrator of the pooled registered pension plan, I, _____, certify that: (Please print the name of the authorized officer of the Plan Administrator)	
(a) the information given on this form is, to the best of my knowledge, correct and complete;	
(b) to the best of my knowledge, the plan and investments comply with and are being administered according to section 147.5 of the <i>Act</i> , and the Regulations thereto;	
and I acknowledge that:	
(c) the information collected on this form will be used for the purposes of regulating the plan pursuant to the <i>Act</i> , which is administered by the CRA and shared with Statistics Canada, pursuant to separate agreements; and	
(d) the information provided on this form will be subject to the <i>Access to Information Act</i> and the <i>Privacy Act</i> .	
Date	Signature of authorized officer
Position of authorized officer	Telephone of authorized officer

Personal information is collected under the authority of section 147.5 of the Act and is used for the registration and administration of a pooled registered pension plan. Information may also be disclosed under information-sharing agreements in accordance with the corresponding Acts.

Information and other uses are described in personal information bank CRA PPU 226, and in the CRA chapter of *Info Source* at www.infosource.gc.ca. Personal information is protected under the *Privacy Act* and individuals have a right of protection, access to, and correction or notation of their personal information. Details regarding requests for personal information at the CRA and our Info Source chapter can be found at www.cra-arc.gc.ca/atip.